Under the Pa			Application Number	10/782,435
MAN TRAN	ISMITTAL		Filing Date	02/18/2004
F	ORM		First Named Inventor.	Pramod B. Mahajan
(to be used for all con	respondence after initi	ial filing)	Art Unit	
			Examiner Name	
Total Number of Pages	in This Submission	5	Attorney Docket Number	1121C
		ENCLO	SURES (check all that apply)	
Fee Transmittal Fo	orm	☐ Drawin	· · · · · · · · · · · · · · · · · · ·	After Allowance Communicati
Fee Attached		Licensi	ng-related Papers	Appeal Communication to Bo Appeals and Interferences
Amendment / Rep	ly	Petition		Appeal Communication to Gro (Appeal Notice, Brief, Reply Bri
After Final			n to Convert to a onal Application	Proprietary Information
Affidavits/decla	aration(s)		of Attorney, Revocation e of Correspondence Address	Status Letter
Extension of Time	Request	Termin	al Disclaimer	Other Enclosure(s) (please identify below):
Express Abandonr	ment Request		st for Refund umber of CD(s)	1) Filing of an IDS under 1.97(b) 2) IDS References (1-24)
☐ Information Disclos	sure Statement			
Certified Copy of F	Priority	Rema	rks	
Response to Missi Incomplete Applica				
Response to M Parts under 37 1.52 or 1.53			_	
	SIGNA	TURE OF	APPLICANT, ATTORNEY, O	OR AGENT
Firm or Individual name	Virginia Dress			
Signature	Vngma	dus		
Date	March 8, 2004			
		CEI	RTIFICATE OF MAILING	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

March 8, 2004

Virginia dun

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

st Named Inventor

Pramod B. Mahajan

Application Number

10/782,435

Filing Date

02/18/2004

Title

RuvB Polynucleotides and Uses Thereof

Group/Art Unit

Examiner

Attorney Docket Number :

1121C

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FILING OF AN INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)

The attached list of citations on PTO Form 1449 is being submitted under the provisions of 37 CFR §1.56 and §1.97(b) in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention. A copy of each cited reference is enclosed, except for those references which are already part of the filewrapper. This statement is being filed within the time period specified in 37 CFR §1.97(b). No fee is required.

Respectfully submitted,

Vuguna Diess Virginia Dress

Agent for Applicant(s) Registration No. 48,243

PIONEER HI-BRED INTERNATIONAL, INC. Corporate Intellectual Property 7100 N.W. 62nd Avenue P.O. Box 1000 Johnston, Iowa 50131-1000

Phone: (515) 270-4192 Facsimile: (515) 334-6883 Substitute for form 1449A/PTO
SUBSTITUTE FOR MATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet

(Use as many sheets as necessary)

of

	Complete if Known	
Application Number	10/782,435	
Filing Date	02/18/2004	
First Named Inventor	Pramod B. Mahajan	
Art Unit		
Examiner Name		
Attorney Docket Number	1121C	

			U.S. PATENT	OCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
Initials *	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
	A1	US-5,451,514	09/19/1995	Boudet et al.	
		US-			
		US-	_		
		US-			

		FOREIGN PA			Pages, Columns,	
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	т°
	A2	WO 99/00419	07/01/1999	Sumitomo Electric Industries, Ltd.		
	А3	EP 0 926 157 A1	06/30/1999	Sumitomo Electric Industries, Ltd.		
	A4	EP 1 033 405 A2	09/06/2000	Ceres Incorporated		
•						

$\overline{}$		The state of the s
Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¹ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ER TRAI

Substitute for form 1449B/PTO

城FORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/782,435 02/18/2004 Filing Date First Named Inventor Pramod B. Mahajan Art Unit Examiner Name

(Use as many sheets as necessary)

of Sheet Attorney Docket Number 1121C

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	A5	BLEWITT et al., Database EMBL Accession No. Al726943, BNLGHi6897 Six-day Cotton fiber Gossypium hirsutum cDNA 5' similar to (AB012122) TIP49 [Homo sapiens] gi/3243035, rRNA sequence (1999)	
	A6	BORK, Powers and Pitfalls in Sequence Analysis: The 70% Hurdle, Genome Research 10:398-400 (2000)	
	A7	CAMERINI-oTERO et al., Homologous Recombination Proteins in Prokaryotes and Eukaryotes, Annu. Rev. Genetics 29:509-552 (1995)	
	A8	KANEMAKI et al., Molecular Cloning of a Rat 49-kDa TBP-Interacting Protein (TIP49) That is Highly Homologous to the Bacterial RuvB, Biochem Biophys Res 235:64-68 (1997)	
	A9	KIKUCHI et al., GenBank Accession No. AB001581	
	A10	KOWALCZYKOWSKI et al., Biochemistry of Homologous Recombination in Escherichia coli, Microb. Rev. 58(3):401-465 (1994)	
	A11	LABRADOR, E., Database EMBL Accession No. AJ276264, Cicer arietinum mRNA for putative Ruv DNA-helicase (2000)	
	A12	MAKINO et al., TIP49, Homologous to the Bacterial DNA Helicase RuvB, Acts as an Autoantigen in Human, Biochem Biophys Res. 245:819-823 (1998)	
	A13	MAKINO et al., Database EMBL Accession No. AB012122, Homo sapiens mRNA for TIP49, complete cds (1998)	
•	A14	NAKAMURA et al., Database EMBL Accession No. AB007651, Arabidopsis thaliana genomic DNA, chromosome 5, P1 clone:MWD9 (1997)	
	A15	NAKAMURA et al., Database EMBL Accession No. AB013390, Arabidopsis thaliana genomic DNA, chromosome 5, TAC clone:K919 (1998)	
	A16	QIU et al., An Eukaryotic RuvB-like Protein (RUVBL1) Essential for Growth, J. Biol. Chem. 273(43):27786-27793 (1998)	
	A17	WALBOT, V., GenBank Accession No. Al444686, Maize ESTs from various cDNA libraries sequenced at Stanford University (1999)	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

HAR I O DOCK ESTER PROOF

Sultatute for form 1449B/PTO

ÎNFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	3	of	3

	Complete if Known	
Application Number	10/782,435	
Filing Date	02/18/2004	
First Named Inventor	Pramod B. Mahajan	
Art Unit		
Examiner Name		
Attorney Docket Number	1121C	1

	1	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	A18	WALBOT, V., GenBank Accession No. Al467736, Maize ESTs from vrious cDNA libraries sequenced at Stanford University (1999)	
	A19	WALBOT, V., GenBank Accession No. Al629704, Maize ESTs from various cDNA libraries sequences at Stanford University (1999)	
	A20	WALBOT, V., Database EMBL Accession No. Al667714, Endosperm cDNA library from Schmidt lab Zea mays cDNA, mRNA sequence (1999)	
	A21	WALBOT, V., Database EMBL Accession No. Al444686, leaf primordia cDNA library from Hake lab Zea mays cDNA, mRNA sequence (1999)	
	A22	WALBOT, V., Database EMBL Accession No. AW927556, Mixed adult tissues from Walbot lab, same as 707 (SK) Zea mays cDNA, mRNA sequence (2000)	
	A23	WEST, S., Processing of Recombination Intermediates by the RuvABC Proteins, Annu. Rev. Genet. 31:213-244 (1997)	
, , , , , , , , , , , , , , , , , , , ,	A24	ZERBIB et al., Coordinated Actions of RuvABC in Holliday Junction Processing, J. Mol. Biol. 281:621-630 (1998)	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

March 8, 2 Date	
J U (U	
	Vugina Din Signature
	OSignature
	Virginia Dress
	Typed or printed name of person signing Certificate
	Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- 1) Transmittal Form / 1 Page (1 copy)
- 2) Filing of an IDS Under 37 CFR 1.97(b) / 1 Page (1 copy)
- 3) Information Disclosure Statement by Applicant / 3 Pages (1 copy)
- 4) IDS References Numbered 1-24 (1 copy each)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.